

Securities and Exchange Commission

Pt. 250

§§ 249b.1-249b.99 [Reserved]

§ 249b.100 Form TA-1,¹ uniform form for registration as a transfer agent pursuant to section 17A of the Securities Exchange Act of 1934.

This form shall be used for application for registration as a transfer agent and for amendment to registration as a transfer agent pursuant to section 17A of the Securities Exchange Act of 1934.

[40 FR 51184, Nov. 4, 1975, as amended at 51 FR 12127, Apr. 9, 1986]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form TA-1, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 249b.101 Form TA-W, notice of withdrawal from registration as transfer agent.

This form shall be used for withdrawing, pursuant to section 17A of the Securities Exchange Act of 1934, the registration of transfer agents registered with the Commission.

(Secs. 2, 17, 17A and 23(a); (15 U.S.C. 78b, 78a, 78a-1 and 78w(a)))

[42 FR 44984, Sept. 8, 1977]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form TA-W, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 249b.102 Form TA-2,¹ form to be used by transfer agents registered pursuant to section 17A of the Securities Exchange Act of 1934 for the annual report of transfer agent activities.

This form shall be used on an annual basis for registered transfer agents for reporting their business activities.

[51 FR 12134, Apr. 9, 1986]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form TA-2, see the List of CFR Sections Affected, which appears in the

¹ Copies of the form may be obtained from the Publications Section, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549 and from each of the Commission's regional and district offices.

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Finding Aids section of the printed volume and on GPO Access.

§ 249b.200 Form CA-1, ¹ form for registration or for exemption from registration as a clearing agency and for amendment to registration as a clearing agency pursuant to section 17A of the Securities Exchange Act of 1934.

This form shall be used for application for registration or for exemption from registration as a clearing agency and for amendment to registration as a clearing agency pursuant to section 17A of the Securities Exchange Act of 1934.

[40 FR 52359, Nov. 10, 1975, as amended at 51 FR 12134, Apr. 9, 1986]

PART 250—GENERAL RULES AND REGULATIONS, PUBLIC UTILITY HOLDING COMPANY ACT OF 1935

REGISTRATION AND GENERAL EXEMPTIONS

Sec.

250.1 Registration.

250.2 Exemption of holding companies which are intrastate or predominantly operating companies.

250.3 Exemption of certain banks.

250.4 Exemption of certain brokers, dealers and underwriters.

250.5 Exemption of certain foreign holding companies.

250.6 Termination of exemptions.

250.7 Companies deemed not to be electric or gas utility companies.

250.8 Exemption of subsidiaries subject to jurisdiction of Interstate Commerce Commission.

250.10 Effect of certain exemptions.

250.11 Certain acquisitions by affiliates exempted from section 9(a)(2).

250.12 Exemption of certain public utility companies from the definition of subsidiary companies of holding companies.

250.14 Exemption of acquisitions of securities of power supply companies from section 9(a)(2) of the Act.

250.15 Exemption of holding company and subsidiary companies under section 3(a)(2) of the Act.

250.16 Exemption of non-utility subsidiaries and affiliates.

¹Copies of the form may be obtained from the Publication Section, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549 and from each of the Commission's regional and district offices.